
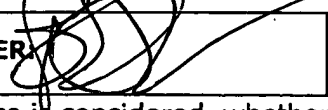


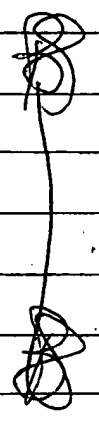
<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 704117.4008	<b>SERIAL NO.</b> Not Yet Assigned
	<b>APPLICANT:</b> Richard S. Ginn	
	<b>FILING DATE:</b> Herewith (1/2/2004)	<b>PREVIOUS GROUP:</b> 3738


U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
		3,683,655	8/15/1972	White, et al.			
		3,757,783	9/11/1973	Alley			
		5,306,234	4/26/1994	Johnson			
		5,855,601	1/5/1999	Bessler, et al.			
		5,588,424	12/31/1996	Insler, et al.			
		5,588,992	12/31/1996	Scott, et al.			
		5,707,352	1/13/1998	Sekins, et al.			
		5,904,648	5/18/1999	Arndt, et al.			
		5,954,636	9/21/1999	Schwartz, et al.			
		6,003,517	12/21/1999	Sheffield, et al.			
		6,020,380	2/1/2000	Killian			
		6,027,525	2/22/2000	Suh, et al.			
		6,174,323 B1	1/16/2001	Biggs, et al.			
		6,258,100 B1	7/10/2001	Alferness, et al.			
		Published 2002/0112729 A1	08/22/2002	DeVore et al.			
		Published 2001/0052344 A1					
		Published 2001/0037808 A1	11/09/2001	Deem et al.			
		6,327,505 B1	12/04/2001	Medhkour et al.			

DOCSOC1:145905.1 704117-4008 J2GOC-89658.1 Not yet assigned	<b>EXAMINER:</b> 	<b>DATE CONSIDERED:</b> 9/10/04
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant		

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 704117.4008	<b>SERIAL NO.</b> Not Yet Assigned
	<b>APPLICANT:</b> Richard S. Ginn	
	<b>FILING DATE:</b> Herewith (1/2/2004)	<b>PREVIOUS GROUP:</b> 3738

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)	
	Clifton A. Alferness, et al., EPO Publication No. EP 1 078 601 A2, "Lung Volume Reduction Apparatus", 2.28/2001.
	T. Sabanathan, PCT Publication No. WO 98/48706, "Occlusion Device", 11/5/1998.
	C. Danek, et al., PCT Publication No. WO 00/62699, "Modification of Airways by Application of Energy", 10/26/2000.
	Rodney A. Perkins, et al., PCT Publication No. WO 01/02042 A1, "Methods, Systems, and Kits for Lung Volume Reduction", 1/11/2001.
	Clifton A. Alferness, et al., PCT Publication No. WO 01/13839 Amendment, "Lung Reduction Device, System, and Method, 3/1/2001.
	Edward P. Ingenito, PCT Publication No. WO 01/13908 A2, "Tissue Volume Reduction", 3/1/2001.

DOCSOC1:145905.1 704117-4008 J2GOC-89658.1 Not yet assigned	<b>EXAMINER:</b> 	<b>DATE CONSIDERED:</b> 9/2/04
<b>EXAMINER:</b> Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant		